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Attorney Docket No.: 15280-317100US Client Reference No.: DHHS Ref. No.: E-059-97/1

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TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FitzGerald et al.

Application No.: 09/381,497

Filed: September 20, 1999

For: RECOMBINANT ANTIBODIES AND IMMUNOCONJUGATES TARGETED TO CD-22 BEARING CELLS AND TUMORS Examiner:

Helms, Larry R.

Art Unit:

1642

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

05/14/2001 MBIZUNES 00000019 201430 09381497 01 FC:126 180.00 CH

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

FitzGerald et al.
Application No.: 09/381,497

Page 2

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Please see accompanying Fee Transmittal PTO/SB/17 for payment of this submission.

Respectfully submitted,

Jean M. Lockyer, Ph. IX

Reg. No. 44,879

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

JML:md

SF 1220734 v1

Please type a plus sign (+) inside this box -

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

TRANSMITTAL FORM			09/381,497		
FORM	-	Filing Date	September 20, 1999 FITZGERALD et al. 1642 Helms, Larry R.		
8/		First Named Inventor			
used for all correspondence after i	initial filing)	Group Art Unit			
		Examiner Name			
Total Number of Pages in This Submission	n	Attorney Docket Number	15280317100 TECH CENTE		
	ENCLO	SURES (check all that apply)			
Fee Transmittal Form		ment Papers Application)	After Allowance Communication to Group		
Fee Attached	Drawing	g(s)	Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Licensi	ng-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Routing Slip (PTO/SB/69) companying Petition	Proprietary Information		
Affidavits/declaration(s)		to Convert to a onal Application	Status Letter		
Extension of Time Request		of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):		
Express Abandonment Request	Termina	al Disclaimer	(1)-return postcard		
Information Disclosure Statement w/Form PTO-1449 and refs. AA-AG		imber of CD(s)			
Certified Copy of Priority Document(s)	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.				
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNA	ATURE OF A	APPLICANT, ATTORNEY, O	OR AGENT		
Firm Townsend and To			44.070		
Individual name Jean M. Lockyer,	Ph.D.		o. 44,879 		
Signature	My	Sem			
Date May 7, 2001		<u> </u>			
		RTIFICATE OF MAILING			
I hereby certify that this correspondence class mail in an envelope addressed to: A	is being depo Assistant Com	sited with the United States Pos missioner for Patents, Washing	stal Service with sufficient postage as first ton, D.C. 20231 on this date: May 7, 2001		
Typed or printed name Malinda C. D	Dagit A	4			

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Patent and Trad Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

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TOTAL AMOUNT OF PAYMENT

	Complete if Know	wn	
Application Number	09/381,497	RECEIVE	-n
Filing Date	September 20, 1999	AAAV 1 5 aa	- -
First Named Inventor	FITZGERALD et al.	MAY 1 5 200	1
Examiner Name	Helms, Larry R.	TECH CENTER 1600	1000
Group Art Unit	1642	LEON OF MIEW 1900	2900
Attorney Docket No.	15280317100		

METHOD OF PAYMENT							FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge				3. A	3. ADDITIONAL FEES								
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Account Townsend and Townsend and Crew LLP				139	130	13	9	130	Non-English specification				
Name					147	2,52	20 14	.7	2,520	For filing a request for reexamination			
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920	D* 113	2	920*	Requesting publication of SIR prior to Examiner action			
Applicant claims small entity status. See 37 CFR 1.27					113	1,84	40* 11	3	1,840*	Requesting publication of SIR after Examiner action			
		nt Enclose	ed:			115	110	21	5	55	Extension for reply within first month		
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101	710 2	201 35	355 L	Utility filing fee		121	270	22	21	135	Request for oral hearing		
				Design filing fee Plant filing fee		138	1,5	10 13	38	1,510	Petition to institute a public use proceeding		
				-	_	140	110	24	ın	55	Petition to revive – unavoidable		
				Reissue filing fee		141	1,24			620	Petition to revive – unintentional		
114 150 214 75 Provisional filing fee				142	1.24			620	Utility issue fee (or reissue)				
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2. EXTRA	A CLAIM	/ FEES	_		_	122	130			130	Petitions to the Commissioner	 	
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Total Claims		-20**	= _	Claims × bel	low Paid	123	50	12	:3	50	applications		
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Multiple Dependent		_	_	×	=	581	40	58	31	40	Recording each patent assignment per property (times number of properties)		
Large Fee	Entity Fee	Small Fee	Entity Fee			146	710	24	6	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code	(\$)	Code	(\$) 9	Fee Descript		149	710	24	9	355	For each additional invention to be examined (37 CFR § 1.129(b))		
103 102	18 80	203 202	9 40	Claims in exc	claims in excess of 3	ŀ						\vdash	
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SUBMITTED BY		Co	Complete (if applicable)		
Name (Print/Type)	Jean M. Lockyer, Ph.D.	Registration No. (Attorney/Agent)	44,879	Telephone	415-576-0200
Signature	11/1/1	W CM		Date	May 7, 2001

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